

gwp

geissbühler weber & partner

TAKE ADVANTAGE OF THE BLOCKCHAIN REVOLUTION

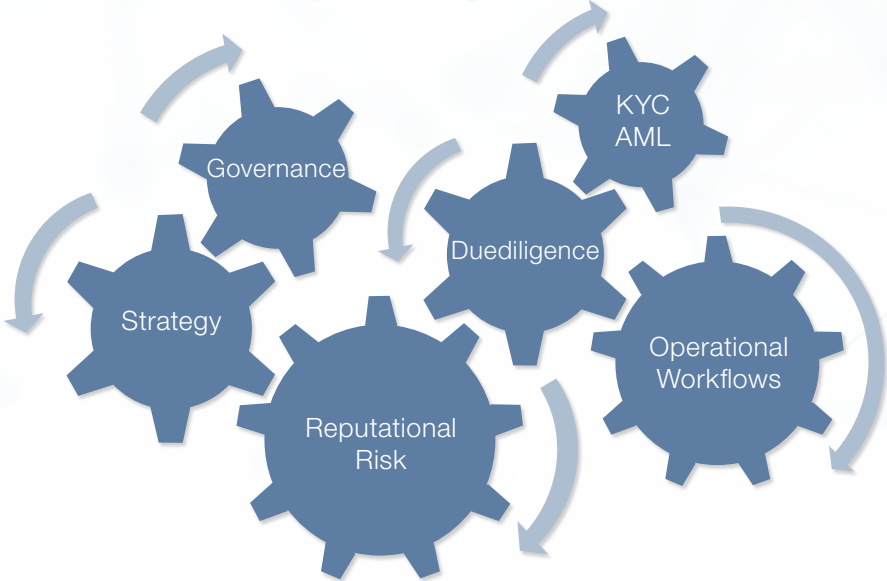
DLT / DIGITAL RISK & COMPLIANCE

THE RIGHT SOLUTION FOR YOUR DIGITAL BUSINESS

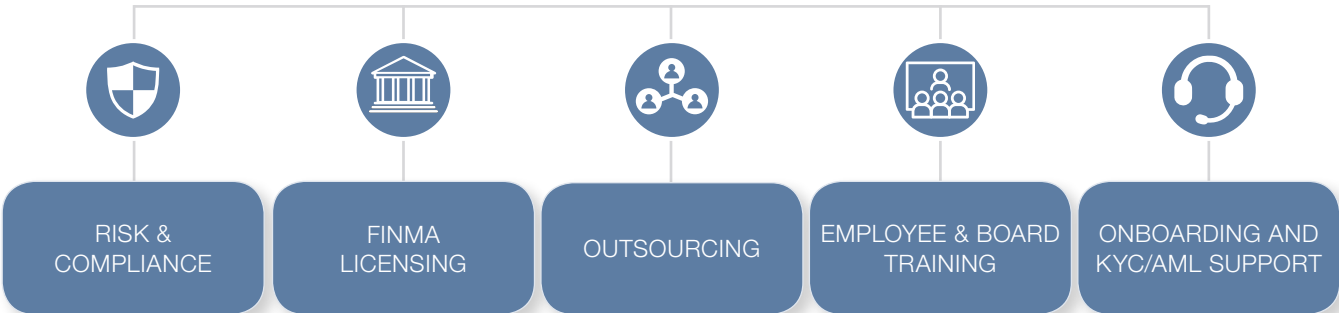
Understanding your needs

-  How will emerging technologies impact your business?
-  What are other market participants doing?
-  How to accelerate the digitalisation of your business?
-  What are the risk and infrastructure changes to expect?

Key challenges



Our added value



SCOPE OF SERVICE

	Traditional Businesses and Established FinTech	Startups	Token Generation Events ICO/STO/IEO
Legal & Compliance Services	<ul style="list-style-type: none"> • risk management & compliance • revision of internal policies framework • control of processes & documents of blockchain related businesses • corporate law services 	<ul style="list-style-type: none"> • legal set up • company incorporation • business plan revision • setup of accounts • directors onboarding 	<ul style="list-style-type: none"> • white paper/business plan review • capital market law analysis • SAFT agreement • audit support/RFP • KYC/AML support
Licensing Support	<p>FINMA Authorisation / Licensing</p> <ul style="list-style-type: none"> • Banks and securities dealers licenses • Financial market infrastructures and market participants licenses • CISA type licenses (e.g. asset manager, distributor, custodian bank) • FinTech licenses <p>SRO membership / VQF registration</p>		
Additional Services	<ul style="list-style-type: none"> • body lending for risk & compliance services with crypto expertise • process design (e.g. of digital onboarding processes) • onboarding support (KYC) of institutional and private clients 	<ul style="list-style-type: none"> • outsourcing of Compliance/AML office lease arrangement • staff & administration onboarding support (directors, secretaries, accountants, auditors etc.) • tax ruling • migration consulting and relocation • incorporation with BTC/ETH funded capital 	<ul style="list-style-type: none"> • token type identification • FINMA interaction & coordination • document placement • prospectus preparation for security token placement
Mandatory Trainings and Courses	<ul style="list-style-type: none"> • Combat of money laundering and terrorist financing/sanctions (GWG) • Corporate governance: Risk management and compliance • Operational Risks: Confidentiality of customer data • Training and awareness programs for employees regarding the confidentiality of customer data. • Cross border financial services • Market conduct rules • Conduct towards clients (FIDLEG) • Courses • KYC compliance training (e.g. onboarding of crypto related clients, crypto transaction verification, financial intermediation with crypto assets involved) • impact of crypto asset offering on the onboarding procedures • regulatory requirements for DLT related business • handling customer complaints, litigation and legal risks • cross-border risk • customised digitalisation & DLT compliance trainings (e.g. board training) 		

DLT/DIGITAL RISK & COMPLIANCE TEAM



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